CALTRANS STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION BID FOR

A.5

CONSTRUCTION ON STATE HIGHWAY IN
GLENN COUNTY IN AND NEAR WILLOWS FROM THE COLUSA COUNTY LINE TO 0.2 MILE
NORTH OF COUNTY ROAD 28
In District 03 on Route 5

UNDER

Notice to Bidders and Special Provisions dated August 1, 2016 Project plans approved April 11, 2016 Standard Specifications dated 2010 Standard Plans dated 2010

Identified by

Contract No. 03-4F0604

03-Gle-5-R0.0/R20.0

Project ID 0314000019

FEDERAL-AID PROJECT ACNHI-0057(098)

Bids open Wednesday, September 7, 2016

Dated August 1, 2016

AADD

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

BID TO THE DEPARTMENT OF TRANSPORTATION

DES-OE-0102.1

Name of Bidder Baldwin Contracting Company, Inc. dba Knife River Construction Business Street Address 1764 Skyway

(Include even if P.O. Box is used)

Business P.O. Box

City, State, Zip Chico, Ca, 95928

Telephone No: AREA CODE 530-891-6555

Fax No: AREA CODE 530-864-6220 Contractor License No. 91712

Public Works Contractor Registration No. 1000002755

Checksum B2A55A12

 Bidder agrees, if this bid is accepted, to enter into a contract with the Department, in the form included in the Standard Specifications, to perform the work provided in the Contract under the terms of the Contract for the price or prices bid.

For a lump sum or unit price based bid, Bidder additionally agrees to perform the work within the number of working days shown on the Notice to Bidders.

For a cost plus time based bid on a contract without a plant establishment period, Bidder additionally agrees to perform the work within the number of working days bid.

For a cost plus time based bid on a contract with a plant establishment period, Bidder additionally agrees to perform the non*plant establishment work within the number of working days bid for non*plant establishment work.

- 2. Bidder has and acknowledges the following addenda:4 09-07-16 Revise Bid Book,
- 3. Bidder submits this bid with one of the following forms of bidder's security equal to at least 10 percent of the bid: Electronic Bidder's Bond
- 4. Bidder's signature is an affirmation of the included certifications. Bidder is cautioned that making a false certification may result in one or more of the following:

- 4.1 Criminal prosecution
- 4.2 Rejection of the bid
- 4.3 Rescission of the award
- 4.4 Termination of the Contract

PRINTED NAME: Richard A. Lanier AND TITLE OF PERSON SIGNING: Estimating Manager

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494 BID ITEM LIST

 Item No.	 Item Code	Item Description	 Unit		•	: Price	 Item Total
 1 	070030 PLAN	LEAD COMPLIANCE	 Ls	LUMP SUM	 LUMP 	SUM	3,000.00
2	,	PROGRESS E (CRITICAL PATH	LS	LUMP SUM	 LUMP 	SUM	2,000.00
 3 -	090105 OVERHEAI	TIME-RELATED D (LS)	 LS	LUMP SUM	 Lump 	SUM	54,000.00
 	120090 AREA SIG	CONSTRUCTION GNS	LS	LUMP SUM	 LUMP 	SUM	7,503.00
 5 	120100 SYSTEM	TRAFFIC CONTROL	LS	LUMP SUM	 LUMP	SUM	900,000.00
 6 	•	CHANNELIZER E MOUNTED)	 EA	120.0	 	49.00	5,880.00
7		PORTABLE BLE MESSAGE SIGN	 LS	LUMP SUM	 LUMP 	SUM	35,000.00
8	*	TEMPORARY (TYPE K)	LF	2,160.0		30.00	64,800.00
9	129100 CUSHION	TEMPORARY CRASH MODULE	 EA	170.0	 	215.00	36,550.00
10	130100 MANAGEM	JOB SITE ENT	 LS	LUMP SUM	 LUMP 	SUM	20,000.00
111	•	PREPARE WATER ON CONTROL	LS	LUMP SUM	LUMP	SUM	800.00
 12 	130620 DRAINAGE PROTECT		 EA	7.0	 	200.00	1,400.00

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID:VC0000017494 BID ITEM LIST

 Item No.	 Item Code		•	Estimated Quantity t of sure	Unit Price	Item Total
13	130900 CONCRETE	TEMPORARY E WASHOUT	 LS	LUMP SUM 	LUMP SUM	5,000.00
14	141120 WASTE 	TREATED WOOD	 LB	230,000.0	0.05	 11,500.00
 15 	150661 	REMOVE GUARDRAIL	 LF	15,000.0	7.00	105,000.00
16	150771 CONCRETI	REMOVE ASPHALT E DIKE	LF	19,300.0 	2.00	38,600.00
 17 	152439 GRATE TO	ADJUST FRAME AND O GRADE	 EA	7.0 	3,000.00	21,000.00
18	•	COLD PLANE CONCRETE	 SQY	664,000.0 	3.00	1,992,000.00
19	153121 (CY)	REMOVE CONCRETE	 CY	77.0 	210.00	16,170.00
20	•	REMOVE CONCRETE LANEOUS) (CY)	 CY	8.0	210.00	1,680.00
	160102 GRUBBING	CLEARING AND G (LS)	 LS	:	LUMP SUM	50,000.00
22	190185	SHOULDER BACKING	 TON	 14,100.0 	20.00	282,000.00
23	198010 (CY)	IMPORTED BORROW	 CY	240.0 	60.00	14,400.00
24		REPLACE ASPHALT E SURFACING	 CY	1,470.0	247.00	363,090.00

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID:VC0000017494 BID ITEM LIST

Item	 Item Code	 Item Description	 Unit Meas			: Price	Item Total
25	390136 ASPHALT	MINOR HOT MIX	TON	1,030.0		120.00	123,600.00
26		RUBBERIZED HOT HALT (GAP GRADED)	 TON	127,000.0		80.75 80.75	10,255,250.00
27	MIX ASPI	RUBBERIZED HOT HALT-OPEN GRADED RADED FRICTION	 TON	51,700.0	 	79.00	4,084,300.00
28		SHOULDER RUMBLE HMA, GROUND-IN FIONS)	 sta	3,990.0	 	20.00	79,800.00
29	394060	DATA CORE	 LS	LUMP SUM	 LUMP 	SUM	35,000.00
30		PLACE HOT MIX DIKE (TYPE A)	 LF	5,330.0	 	1.84	9,807.20
31	,	PLACE HOT MIX DIKE (TYPE C)	 LF	410.0	 	1.84	754.40
32	394075 ASPHALT	PLACE HOT MIX DIKE (TYPE D)	 LF	13,300.0		1.84	24,472.00
33		PLACE HOT MIX DIKE (TYPE F)	 LF	230.0	 	1.84	423.20
	•	PLACE HOT MIX (MISCELLANEOUS	 SQYE	330.0	 	75.00 75.00	24,750.00
35	397005	TACK COAT	 TON	890.0	 	400.00	356,000.00

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

BID ITEM LIST

Item	 Item Code	Item Description	Estimated Quantity Unit of Measure	•	 Item Total
 36(F)	510502 MINOR CONCRETE F) (MINOR STRUCTURE)		 36.0 CY	2,275.00	81,900.00
37	730070 WARNING	DETECTABLE SURFACE	 60.0 SQFT	45.00	2,700.00
38	731502 (MISCELI CONSTRUC		 9.0 CY	1,400.00	12,600.00
39		PRE/POST CTION SURVEYS	 3.0 EA	1,250.00	3,750.00
40	820107 (CLASS 1	DELINEATOR	 320.0 EA	 35.50 	11,360.00
41	031481 HIGHWAY POST MARKER		 78.0 EA	 85.50	6,669.00
42	820151 OBJECT MARKER (TYPE L-1)		 45.0 EA	38.00	1,710.00
43	832007 MIDWEST GUARDRAIL SYSTEM (WOOD POST)		 9,720.0 LF	 25.00	243,000.00
44	839221 DOUBLE MIDWEST GUARDRAIL SYSTEM (WOOD POST)		 2,100.0 LF	 37.00	77,700.00
 45 	839543 TRANSITION RAILING (TYPE WB-31)		 41.0 EA	3,500.00	143,500.00
46	839561 ASSEMBLY	RAIL TENSIONING	 12.0 EA	 250.00 	3,000.00
47	•	END ANCHOR ((TYPE SFT)	 14.0 EA	 650.00	9,100.00

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494 BID ITEM LIST

 Item No.	 Item Code	Item Description	Uni Uni	Estimated Quantity t of sure	•	 Item Total
48	839584 IN-LINE	ALTERNATIVE TERMINAL SYSTEM	 EA	9.0	 2,600.00	23,400.00
49	839585 FLARED :	ALTERNATIVE FERMINAL SYSTEM	EA	43.0	2,100.00	90,300.00
50	839601 (TYPE CA	CRASH CUSHION AT)	 EA	12.0	 5,200.00	62,400.00
		CRASH CUSHION AT) BACKUP	 EA	12.0	 140.00	1,680.00
52	031482 CRASH CT	ALTERNATIVE JSHION	 EA	4.0	 29,700.00 	118,800.00
 53(F)	031483 (TYPE 60	CONCRETE BARRIER	 LF	801.4	 488.00 	 391,083.20
		THERMOPLASTIC I MARKING ED WET NIGHT ITY)	 SQF	3,510.0	 4.00 	14,040.00
		4" THERMOPLASTIC STRIPE (ENHANCED HT VISIBILITY)	•	484,000.0	0.48	232,320.00
	TRAFFIC	4" THERMOPLASTIC STRIPE (ENHANCED HT VISIBILITY) 17-7)	:	3,900.0	 0.40 	1,560.00
+ 57 	TRAFFIC	4" THERMOPLASTIC STRIPE (ENHANCED HT VISIBILITY) 36-12)	!	212,000.0	0.40	84,800.00
	TRAFFIC	6" THERMOPLASTIC STRIPE (ENHANCED HT VISIBILITY)	:	140.0	0.75	105.00

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID:VC0000017494 BID ITEM LIST

		Б.	LD 1.	гем г.	151			
 Item No.	 Item Code	·	Uni Meas	Quar c of	mated	•	t Price	 Item Total
	TRAFFIC	8" THERMOPLASTIC STRIPE (ENHANCED HT VISIBILITY)	İ	11,3	300.0	 	0.90	10,170.00
60	4	PAVEMENT MARKER FLECTIVE)	 EA	3	370.0	 	3.00	1,110.00
61	•	PAVEMENT MARKER EFLECTIVE)	 EA	10,8	300.0		3.00	32,400.00
 62 	EXISTING MANAGEMI	MAINTAINING G TRAFFIC ENT SYSTEM G DURING CTION	LS LS LS	LUMP	SUM	LUMP	SUM	0.00
63	860807 DETECTOI	INDUCTIVE LOOP	LS	LUMP	SUM	LUMP	SUM	61,014.00
64	,	MODIFYING G ELECTRICAL	LS	LUMP	SUM	LUMP	SUM	
65	BLANK 		LS	LUMP	SUM	LUMP	SUM	0.00
66	<u>!</u>	ALTERNATIVE RY CRASH CUSHION	EA		16.0		1,200.00	19,200.00
67	999990 	MOBILIZATION	LS	LUMP	SUM	LUMP	sum	1,935,000.00
To	 Total Bid							\$23,475,811.00

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 03-4F0604 Project ID: 0314000019

Bidder: Baldwin Contracting Company, Inc. dba Knife R Bidder ID: VC0000017494

TOTAL BID

TOTAL BID FOR BID ITEMS: \$22,728,811.00

TOTAL BID FOR TIME: 90 X \$8,300.00 = \$747,000.00

WORKING DAYS BID (Do not bid less than 90 days and not more than 120 D

TOTAL BID FOR COMPARISON (COST PLUS TIME): \$23,475,811.00

Contract No. 03-4F0604

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 03-4F0604 Project ID: 0314000019
Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494
DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

1) Business Name PACIFIC NROTHWEST OIL
Location City STOCKTON State CA
California Contractor License Number 705296
Public Works Contractor Registration Number 1000004865
Portion of Work Subcontracted:

Item % 35 98.78 TACK COAT

Description

List this sub? Yes

2) Business Name MIDSTATE BARRIER, INC.
Location City STOCKTON State CA
California Contractor License Number 529261
Public Works Contractor Registration Number 1000000538
Portion of Work Subcontracted:

Item % Description
14 100
15 100
43 100
44 100
45 100

List this sub? Yes

3) Business Name MIDSTATE BARRIER, INC.
Location City STOCKTON State CA
California Contractor License Number 529261
Public Works Contractor Registration Number 1000000538
Portion of Work Subcontracted:

Item % Description
46 100
47 100
48 100
49 100
50 100

Contract No. 03-4F0604

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 03-4F0604 Project ID: 0314000019
Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID:VC0000017494
DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

4) Business Name MIDSTATE BARRIER, INC.
Location City STOCKTON State CA
California Contractor License Number 529261
Public Works Contractor Registration Number 1000000538
Portion of Work Subcontracted:

Item % 51 100 52 100

Description

List this sub? Yes

5) Business Name FBD VANGUARD CONSTRUCTION
Location City LIVERMORE State CA
California Contractor License Number 833032
Public Works Contractor Registration Number 1000008493
Portion of Work Subcontracted:

Item % 36 100 53 100

Description

List this sub? Yes

6) Business Name CHRISP COMPANY
Location City WOODLAND State CA
California Contractor License Number 374600
Public Works Contractor Registration Number 1000000306
Portion of Work Subcontracted:

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION
Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

7) Business Name CHRISP COMPANY
Location City WOODLAND State CA
California Contractor License Number 374600
Public Works Contractor Registration Number 1000000306
Portion of Work Subcontracted:

List this sub? Yes

8) Business Name S.T.RHOADES CONSTRUCTION INC.
Location City REDDING State CA
California Contractor License Number 930684
Public Works Contractor Registration Number 1000008025
Portion of Work Subcontracted:

List this sub? Yes

24 100

9) Business Name S.T.RHOADES CONSTRUCTION INC.
Location City REDDING State CA
California Contractor License Number 930684
Public Works Contractor Registration Number 1000008025
Portion of Work Subcontracted:

Item % Description
35 1.22 TACK COAT FOR ST RHOADES
37 100
38 100
39 100
67 0.72 MOBILAIZATION FOR ST RHOADES

Contract No.: 03-4F0604

Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494

DES-OE-0102.2C (REV 03/2015)

Bidding Firm: Baldwin Contracting Company, Inc. dba Knife River Construction

List this sub? Yes

10) Business Name PAVEMENT RECYCLING SYSTEMS, INC.
Location City WOODLAND State CA
California Contractor License Number 569352
Public Works Contractor Registration Number 1000003363
Portion of Work Subcontracted:

Item % Description
18 30 OPERATED GRINDER FOR COLD PLANING
28 50 RUMBLE STRIP GRINDING

List this sub? Yes

11) Business Name ABSL CONSTRUCTION
Location City HAYWARD State CA
California Contractor License Number 621781
Public Works Contractor Registration Number 1000002818
Portion of Work Subcontracted:

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID:VC0000017494

OPT OUT OF PAYMENT ADJUSTMENTS FOR PRICE INDEX FLUCTUATIONS

DES-OE-0102.12A(REV. 8/2012)

To opt out of payment adjustments for price index fluctuations as specified, complete this form.

I opt out of the payment adjustments for price index fluctuations.

Enter Date and Name to opt out of payment adjustments for price index fluctuations.

Date:	Bidder's	Name:		
Signature	e:		 	

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name:Baldwin Contracting Company, Inc. dba KnBidder ID:VC0000017494

SMALL BUSINESS STATUS

DES-OE-0102.4 (REV 3/2008)

Are you certified as a "Small Business" by the Office of Small Business and DVBE Services of the Department of General Services of the State of California?:

YES/NO: No Certification number? :

Note: This small business questionnaire is included for statistical reporting

only.

Contract No.: 03-4F0604 Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494 CERTIFICATIONS

FEDERAL-AID PROJECTS DISCLOSURE OF LOBBYING ACTIVITIES CERTIFICATION Bidder certifies, to the best of his or her knowledge and belief:

- 1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the undersigned, to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
- 2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any Federal agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form LLL, "Disclosure of Lobbying Activities," in conformance with its instructions.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by 31 USC 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Bidder also agrees by submitting a bid that it must require the language of this certification be included in subcontracts over \$100,000 and these subcontractors shall certify and disclose.

Contract No.: 03-4F0604 P

covered Federal action.

Project ID: 0314000019

Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494 INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

- 1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence, the outcome of a covered Federal action.
 2. Identify the status of the covered Federal action.
- 3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last, previously submitted report by this reporting entity for this
- 4.Enter the full name, address, city, State, and zip code of the reporting entity. Include Congressional District if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
- 5.If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District if known.
- 6.Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
- 7.Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans and loan commitments.
- 8.Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number, the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
- 9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitments for the prime entity identified in item 4 or 5.
- 10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
- (b) Enter the full names of the individual(s) performing services and include full address if different from 10(a). Enter Last Name, First Name, and Middle Initial (MI).
- 11. The certifying official shall sign and date the form, print his/her name title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

STATE OF CALIFORNIA DEPARTMENT OF TRANSPORTATION Contract No.: 03-4F0604 Project ID: 0314000019 Bidder Name: Baldwin Contracting Company, Inc. dba KnBidder ID: VC0000017494 DISCLOSURE OF LOBBYING ACTIVITIES Approved by OMB 0348-0046 Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352 1. Type of Federal Action: 2. Status of Federal Action: 3. Report Type: For Material Change Only: Year Quarter Date of last report 4. Name and Address of Reporting Entity: Tier , if known Congressional District, if known: 5. If Reporting Entity in No. 4 is Subawardee Enter Name and Address of Prime: Congressional District, if known: 6. Federal Department/Agency: 7. Federal Program Name/Description: CFDA Number, if applicable 8. Federal Action Number, if known: 9. Award amount, if known (\$): 10. a. Name and Address of Lobby Registrant (if individual, last name, first name, MI): b. Individuals Performing Services (including address if different from No. 10a) (last name, first name, MI): 11. Information requested through this form is authorized by Title 31 U.S.C. Section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure. Signature:

Print Name:

Title:

Telephone No.:

DATE:

Federal Use Only:

Authorized for Local Reproduction Standard Form - LLL(Rev. 7-97)

EQUAL EMPLOYMENT OPPORTUNITY REGULATION CERTIFICATION

Bidder Knife River Construction, proposed subcontractor, certifies that he has participated in a previous contract or subcontract subject to the equal opportunity clauses, as required by Executive Orders 10925, 11114, or 11246, and that, if required, he has filed with the Joint Reporting Committee, the Director of the Office of Federal Contract Compliance, a Federal Government contracting or administering agency, or the former President's Committee on Equal Employment Opportunity, all reports due under the filing requirements.

Notes:

The above certification is required by the Equal Employment Opportunity Regulations of the Secretary of Labor (41 CFR 60-1.7(b) (1)), and must be submitted by bidders and proposed subcontractors only in connection with contracts and subcontracts which are subject to the equal opportunity clause. Contracts and subcontracts which are exempt from the equal opportunity clause are set forth in 41 CFR 60-1.5. (Generally only contracts or subcontracts of \$10,000 or under are exempt.)

Currently, Standard Form 100 (EEO-1) is the only report required by the Executive Orders or their implementing regulations.

Prime contractors and subcontractors who have participated in a previous contract or subcontract subject to the Executive Orders and have not filed the required reports should note 41 CFR 60-1.7(b)(1) prevents the award of contracts and subcontracts unless the Contractor submits a report covering the delinquent period or such other period specified by the Federal Highway Administration or by the Director, Office of Federal Contract Compliance, U.S. Department of Labor.

TITLE 49, CODE OF FEDERAL REGULATIONS, PART 29, DEBARMENT AND SUSPENSION CERTIFICATION

Bidder, under penalty of perjury, certifies that, except as noted below, it or any other person associated therewith in the capacity of owner, partner, director, officer, manager:

is not currently under suspension, debarment, voluntary exclusion, or determination of ineligibility by any federal agency;

has not been suspended, debarred, voluntarily excluded or determined ineligible by any federal agency within the past 3 years;

does not have a proposed debarment pending; and

has not been indicted, convicted, or had a civil judgement rendered against it by a court of competent jurisdiction in any matter involving fraud or official misconduct within the past 3 years.

If there are any exceptions to this certification, insert the exceptions in the following space.

Exceptions will not necessarily result in denial of award, but will be considered in determining bidder responsibility. For any exception noted above, indicate below to whom it applies, initiating agency, and dates of action.

UNDOCUMENTED ALIENS EMPLOYMENT

Under Pub Cont Code \blacklozenge 6101, the Bidder certifies compliance with state and federal law respecting the employment of undocumented aliens.

NONCOLLUSION

NONCOLLUSION AFFIDAVIT TO BE EXECUTED BY BIDDER AND SUBMITTED WITH BID Under PCC 7106 and 23 USC 112, the bidder declares as follows: State of CA County of Butte Name Richard A. Lanier, being first duly sworn, deposes and says that he or she is Estimating Manager of Baldwin Contracting Co., Inc. dba Knife River Construction the party making the foregoing bid that the bid is not made in the interest of, or on behalf of, any undisclosed person, partnership, company, association, organization, or corporation; that the bid is genuine and not collusive or sham; that the bidder has not directly or indirectly induced or solicited any other bidder to put in a false or sham bid, and has not directly or indirectly colluded, conspired, connived, or agreed with any bidder or anyone else to put in a sham bid, or that anyone shall refrain from bidding; that the bidder has not in any manner, directly or indirectly, sought by agreement, communication, or conference with anyone to fix the bid price of the bidder or any other bidder, or to fix any overhead, profit, or cost element of the bid price, or of that of any other bidder, or to secure any advantage against the public body awarding the contract of anyone interested in the proposed contract; that all statements contained in the bid are true; and, further, that the bidder has not, directly or indirectly, submitted his or her bid price or any breakdown thereof, or the contents thereof, or divulged information or data relative thereto, or paid, and will not pay, any fee to any corporation, partnership, company association, organization, bid depository, or to any member or agent thereof to effectuate a collusive or sham bid.

CHILD SUPPORT COMPLIANCE ACT

Under Pub Cont Code ♦ 7110, the contractor acknowledges that:

- 1. The contractor recognizes the importance of child and family support obligations and shall fully comply with all applicable state and federal laws relating to child and family support enforcement, including, but not limited to, disclosure of information and compliance with earnings assignment orders, as provided in Chapter 8 (commencing with section 5200) of Part 5 of Division 9 of the Family Code; and
- 2. The contractor to the best of its knowledge is fully complying with the earnings assignment orders of all employees and is providing the names of all new employees to the New Hire Registry maintained by the Employment Development Department.

NATIONAL LABOR RELATIONS BOARD

Under Pub Cont Code ♦ 10232, the contractor, swears under penalty of perjury, that no more than one final unappealable finding of contempt of court by a federal court has been issued against the contractor within the immediately preceding two year period because of the contractor's failure to comply with an order of a federal court which orders the contractor to comply with an order of the National Labor Relations Board.

VIOLATION OF LAW OR A SAFETY REGULATION

Under Pub Cont Code \blacklozenge 10162, the Bidder must complete, under penalty of perjury, the following questionnaire:

Has the Bidder, any officer of the Bidder, or any employee of the Bidder who has a proprietary interest in the Bidder, ever been disqualified, removed, or otherwise prevented from bidding on, or completing a federal, state, or local government project because of a violation of law or a safety regulation? No

If the answer is yes, explain the circumstances in the following space.

ANTITRUST LAW

Under Pub Con Code ♦ 10285.1, the Bidder declares under penalty of perjury under the laws of the State of California that the Bidder Has Not been convicted within the preceding three years of any offenses referred to in that section, including any charge of fraud, bribery, collusion, conspiracy, or any other act in violation of any state or federal antitrust law in connection with the bidding upon, award of, or performance of, any public works contract, as defined in Pub Cont Code ♦ 1101, with any public entity, as defined in Pub Cont Code ♦ 1100, including the Regents of the University of California or the Trustees of the California State University. The term "Bidder" includes any partner, member, officer, director, responsible managing officer, or responsible managing employee thereof, as referred to in Section 10285.1.

If the Bidder has been convicted of an offense within the past 3 years, provide the conviction details including the date and ultimate resolution of each conviction in the space below.

PERMITS, LICENSES, AGREEMENTS, CERTIFICATIONS, AND RAILROAD RELATIONS AND INSURANCE REQUIREMENTS

Bidder acknowledges that permits, licenses, agreements, certifications, and the requirements in the document titled "Railroad Relations and Insurance Requirements" are components of the Contract under section 5-1.02 of the Standard Specifications.

BIDDER RESPONSIBILITY QUESTIONNAIRE

Failure to truthfully answer the following questions will result in a finding that the bid is nonresponsive. The Bidder must complete, under penalty of perjury, the following questionnaire:

- 1. Within the past 10 years, has the Bidder been found to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

 No
- 2. Within the past 10 years, have any of the Bidder's officers or employees with a proprietary interest in the Bidder been determined to be a nonresponsible bidder by a public entity, including federal, State, local or regional entities?

 No
- 3. Is there any officer or employee of the Bidder who now has or has had any proprietary interest in another company that bid or bids on public works projects whose company has been determined to be a nonresponsible bidder by any public entity, including federal, State, local, or regional entities?

 No
- 4. If the answer to any of the 3 preceding questions is yes, disclose all pertinent details of the determination of nonresponsibility, including:
 - 4.1. Date of each nonresponsibility determination
 - 4.2. Name of each public agency issuing the nonresponsibility determination and a contact person at that agency who would have information about the determination
 - 4.3. Contract number for each nonresponsibility determination

END CERTIFICATIONS

Contract No. 03-4F0604
Bond No.
We
as Principal, and
as Surety are bound unto the State of California, Department of Transportation, hereafter referred to as "Obligee", in the penal sum of ten percent (10%) of the total amount of the bid of the Principal submitted to the Obligee for the work described below, for the payment of which sum we bind ourselves, jointly and severally, THE CONDITION OF THIS OBLIGATION IS SUCH, THAT:
WHEREAS, the Principal is submitting a bid to the Obligee, for
CONSTRUCTION ON STATE HIGHWAY IN GLENN COUNTY IN AND NEAR WILLOWS FROM THE COLUSA COUNTY LINE TO 0.2 MILE NORTH OF COUNTY ROAD 28
In District 03 on Route 5 for which bids are to be opened at 1727 30th Street, Sacramento CA 95816 on 09-22-16.
NOW, THEREFORE, if the Principal is awarded the contract and, within the time and manner required under the specifications, after the prescribed forms are presented to him for signature, enters into a written contract, in the prescribed form, in accordance with the bid, and files two bonds with the Obligee, one to guarantee faithful performance (if specified in the contract) of the contract and the other to guarantee payment for labor and materials as provided by law, then this obligation shall be null and void; otherwise, it shall remain in full force.
In the event a suit is brought upon this bond by the Obligee and judgement is recovered, the Surety shall pay all costs incurred by the Obligee in such suit, including a reasonable attorney's fee to be fixed by the court.
Dated:, 20
Principal:
Surety:
Address of Surety:
(for correspondence or claims)
Ву
Attorney-in-Fact

BIDDER'S BOND

DES-OE 0102.3 (REV 4/2016)

CALIFORNIA ALL-PURPOSE ACKNOWLEDGEMENT

A notary public or other officer completing this certificate verifies only the identity of the individual who signed the document to which this certificate is attached, and not the truthfulness, accuracy, or validity of that document.
State of California
County of
On this before me,
Date Here insert Name and Title of the Officer
personally appeared
Name(s) of Signer(s)
who proved to me on the basis of satisfactory evidence to be the person(s) whose name(s) is/are subscribed to the within instrument and acknowledged to me that he/she/they executed the same in his/her/their authorized capacity(ies), and that by his/her/their signature(s) on the instrument the person(s), or the entity upon behalf of which the person(s) acted, executed the instrument.
I certify under PENALTY OF PERJURY under the laws of the State of California that the foregoing paragraph is true and correct.
WITNESS my hand and official seal
SIGNATURE
Signature of Notary Public
(SEAL)

Bond Details for: Bid Bond

Status: In Effect

Bond Form: CA DOT eBond Bid Bond Only UP 3-15 (Bid Bond)

Current Effective Date: 9/7/2016

Expiration Date: 12/7/2016

Baldwin Contracting Company, Inc. dba Knife River Subsidiary:

Construction

CONSTRUCTION ON STATE HIGHWAY IN GLENN COUNTY IN AND NEAR WILLOWS FROM THE

Description: COLUSA COUNTY LINE TO 0.2 MILE NORTH OF

COUNTY ROAO 28.

Contract Date:

Type of Bond: SAA Code: Contract Bid Bond(000)

Initial Effective Date:

9/7/2016

Principal

Name:

Baldwin Contracting Company, Inc. dba Knife River

Address:

1764 Skyway

Chico, CA 95928

State of Incorporation:

Obligee

Name:

Caltrans

CA

Address:

1727 30th Street, Bidders' Exchange, MS 26

Sacramento, CA 95816

State of Obligation:

Obligee Type: unknown

Agency Information

Agency: Site: Willis

Willis of Minnesota, Inc. (Minneapolis, MN)

Attorney-in-fact:

Heather Goedtel

State of Execution:

Bond Form Custom Fields

Bid Location:

Sacramento, CA

Contract ID No. Required for Electronic Bidding:

03-4F0604

Contractors ID No. Required for Electronic Bidding:

Public Custom Fields (Viewable by All Users)

Requested By:

JARED SPRINGER

Other Information

Project, Invitation or Bid Number:

Contract No. 03-4F0604

Project Address:

Bld Date:

CA 9/7/2016

Bid Time:

2:00

Original Bid Date:

9/7/2016

Bid Bond Amount:

10%

SurePath ID (Long):

(1F794E51-96AE-4O76-9973-B5C88OF761BE)

Bond Validation Number(BVN):

CAJM-HFG1-OEQC-C81Y

E-Mail BVN

Issuing Carriers

Liberty Mutual Insurance Company

Bond Number:

Bid Bond

Premium Amount:

\$0.00

State Surcharga:

\$0.00

Municipal Tax:

\$0.00

Comments